

# Excellent Swimmers

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F. Alouges, ADS, A. Lefebvre, Optimal strokes for low Re swimmers: **an example**  
J. Nonlinear Sci., **18**, 277-302 (2008)

# Outline



- 1. Swimming and swimmers
- 2. Hydrodynamics and Reynolds number
- 3. Life at low Reynolds numbers
- 4. Gaits and strokes:
  - A swimming problem
  - A problem of optimal swimming

(theme: empirical vs. algorithmic optimization)



# 1. Swimming and swimmers



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# Swimming



- Swimming: a definition

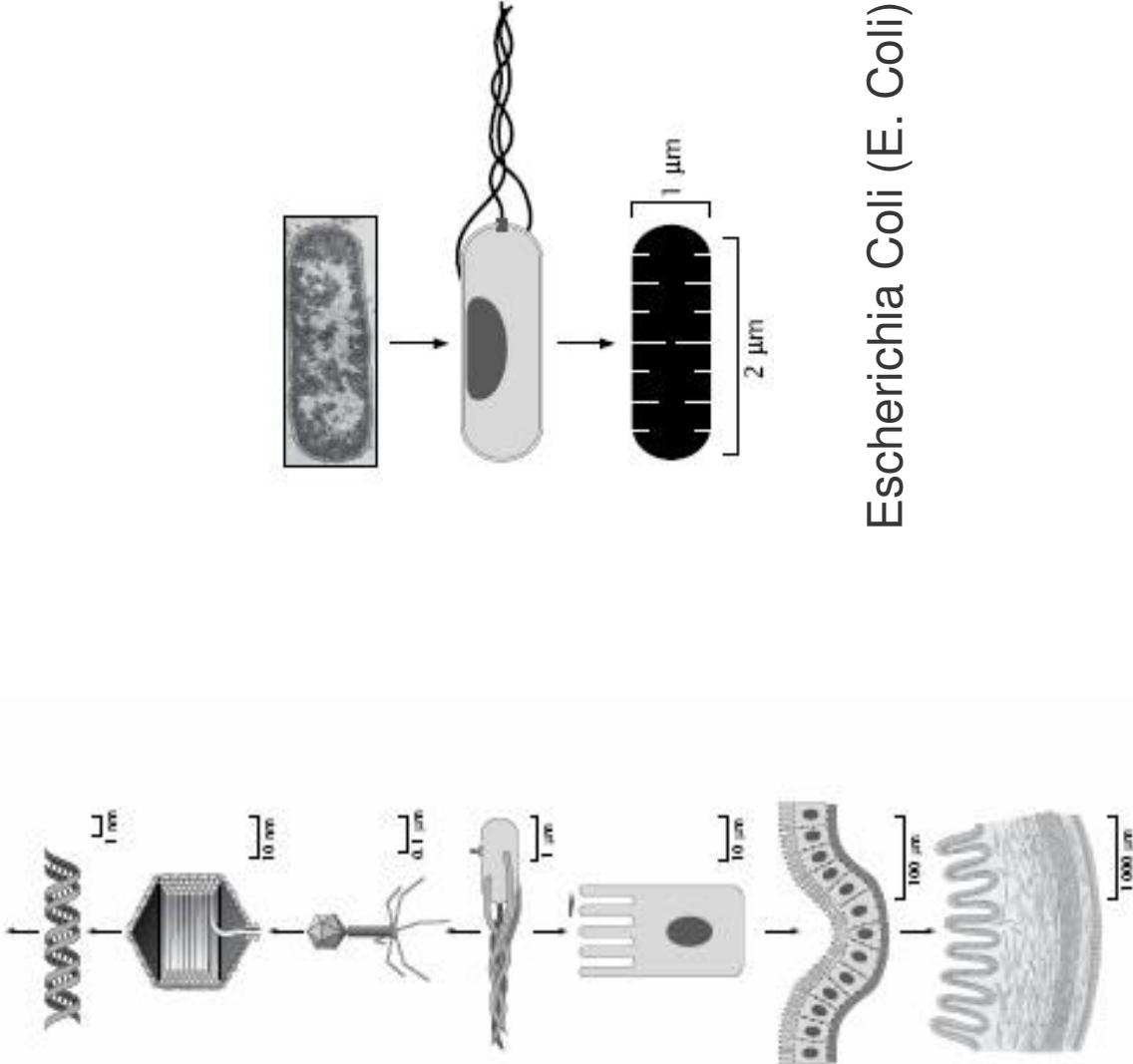
the ability to advance in water by performing a cyclic shape change (a *stroke*) in the absence of external propulsive forces



- Nature of shape variables: will focus on time-periodic functions



# Microscopic swimmers: bacteria and cells



Euglenoids

Two movies of metaboly  
in *Eutreptiella* sp.

cmp389a.mov

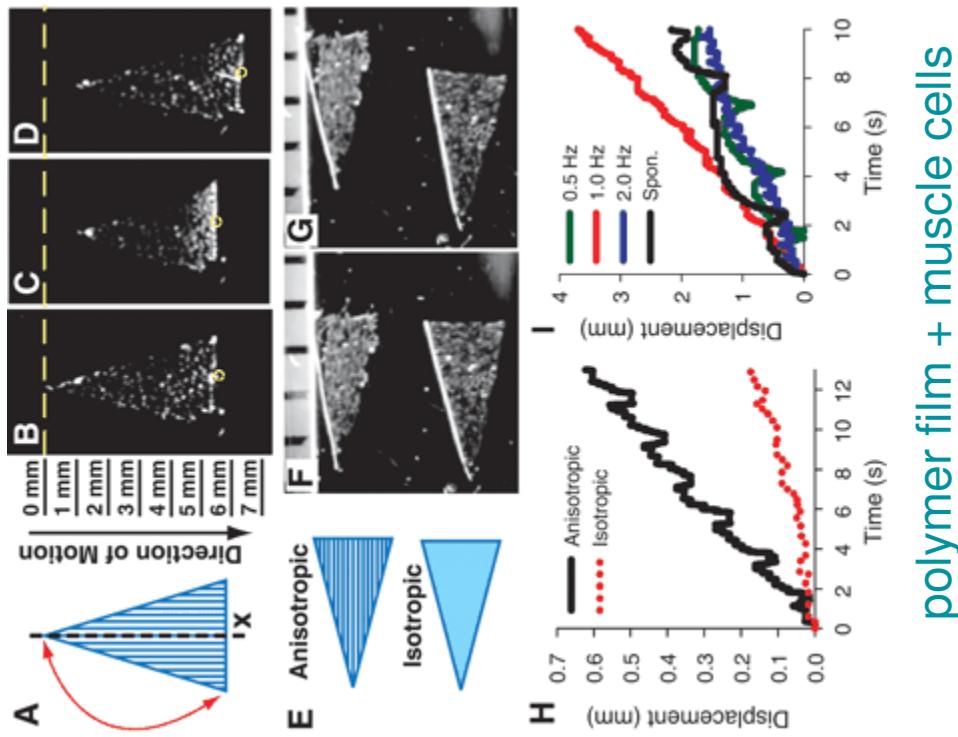
Eutrep2.mov

One movie of swimming  
in *Anisonema* sp.

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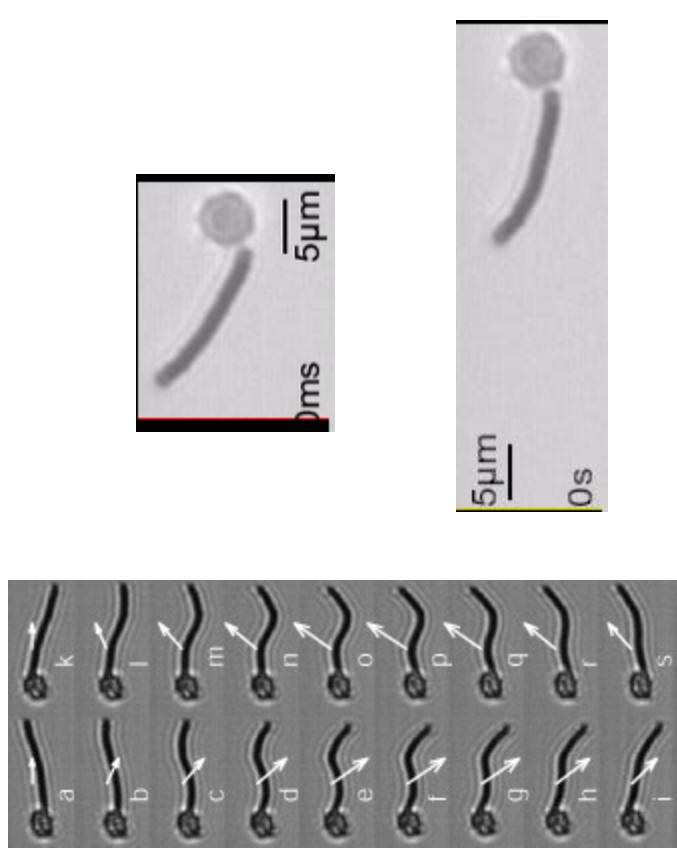
Aniso2.mov

# Man made micro swimmers: micro-robots



red blood cell + flexible magnetic filament

H. Stone et al., Nature (2005)



G. Whitesides et al., Science (2007)

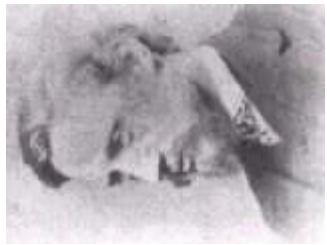
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## 2. Hydrodynamics: From Navier(-Stokes) to Stokes via Reynolds



Stokes



Reynolds



Navier

# From Navier-Stokes to Stokes



$$\begin{aligned}\rho \left( \frac{\partial v}{\partial t} + (v \cdot \nabla) v \right) &= -\nabla p + \eta \Delta v \\ \operatorname{div} v &= 0\end{aligned}$$

Non-dimensionalization

$$x_* = \frac{x}{L}, \quad t_* = \frac{t}{T}, \quad p_* = \frac{p}{V\eta}, \quad u_* = \frac{v}{V}$$

$$\begin{cases} \sigma Re \frac{\partial u_*}{\partial t_*} + Re(u_* \cdot \nabla_*) u_* - \Delta_* u_* + \nabla_* p_* = 0, \\ \operatorname{div}_* u_* = 0 \end{cases}$$

$$Re = \frac{VL\rho}{\eta} \quad \text{and} \quad \sigma = \frac{L}{VT}$$

$$\begin{aligned}-\eta \Delta v + \nabla p &= 0 \\ \operatorname{div} v &= 0\end{aligned}$$

All inertial effects neglected.

# Reynolds Number (Re)



$$Re = \frac{VL\rho}{\eta}$$

Velocity (typical order of magnitude) V

Diameter (typical size) L

Mass density of the fluid  $\rho$

Viscosity of the fluid  $\eta$

For water at room temperature  $\rho/\eta = 10^6 \text{ (m}^2\text{s}^{-1}\text{)}^{-1}$ .

Re is a dimensionless measure of relative importance of inertia vs. viscosity

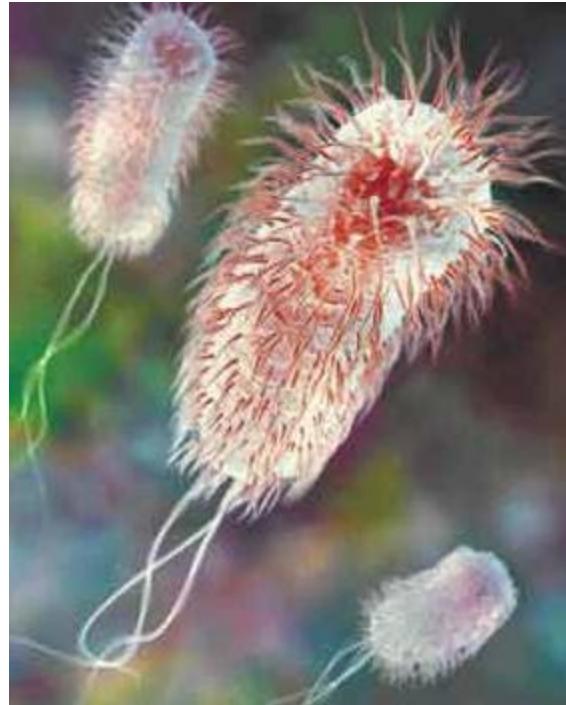
**Orders of magnitude for swimmers:**

Men, dolphins, sharks:  $L=1\text{m}, V=1-10 \text{ ms}^{-1}$   $Re=10^6-10^7$

Bacteria:  $L=1\times 10^{-6}\text{m}, V=1-10\times 10^{-6} \text{ ms}^{-1}$   $Re=10^{-6}-10^{-5}$



### 3. Life at low Reynolds numbers



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## The scallop theorem and some consequences

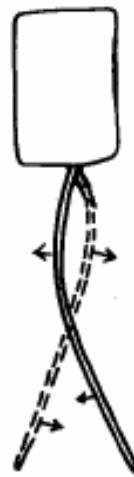
in a flow regime obeying Stokes equations,  
a scallop cannot advance through the  
reciprocal motion of its valves

whatever forward motion will be produced  
by closing the valves, it will be exactly  
canceled by a backward motion upon  
reopening them

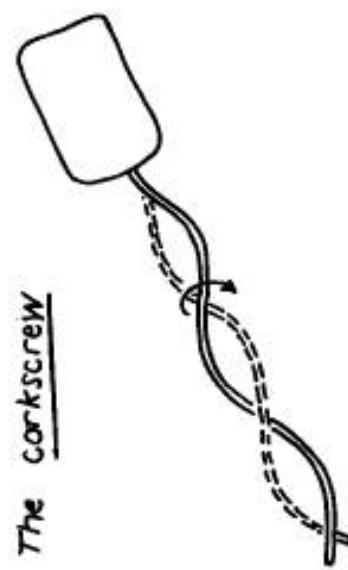


*The Scallop Theorem*

*The flexible oar*



G.I. Taylor, 1951



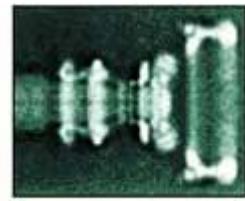
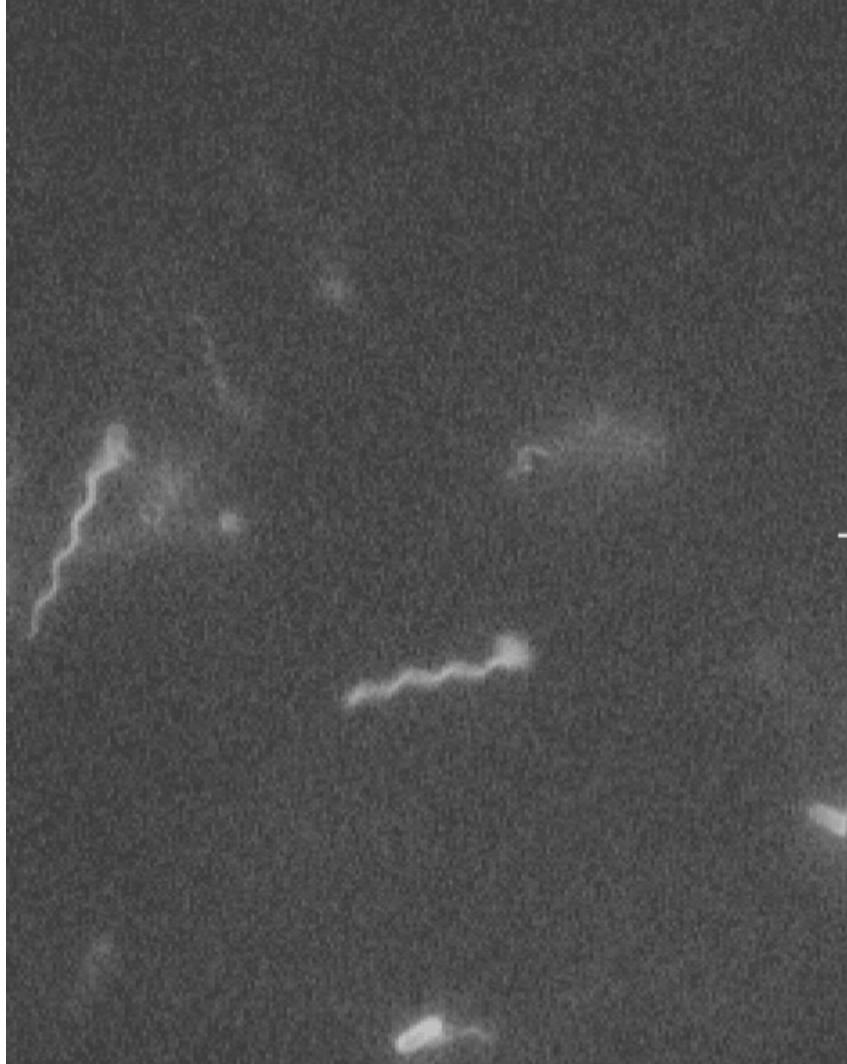
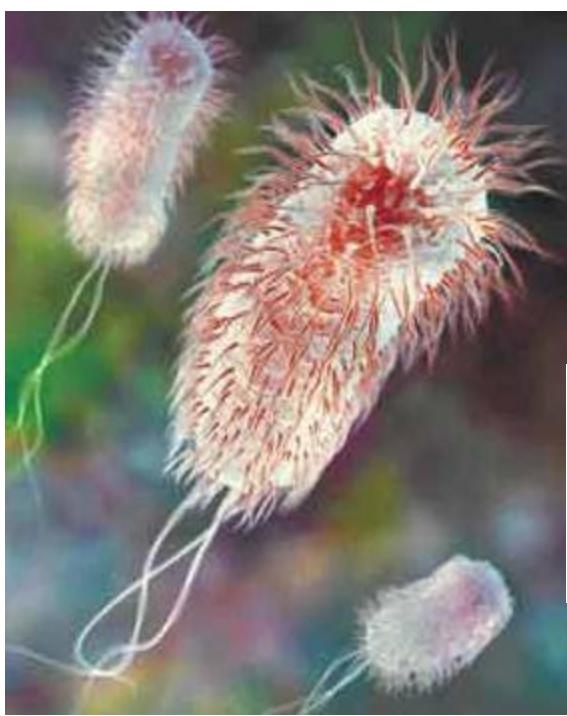
*The corkscrew*

H. Berg, 1973





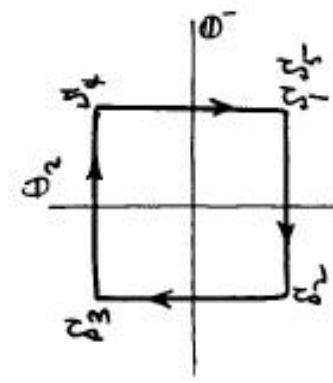
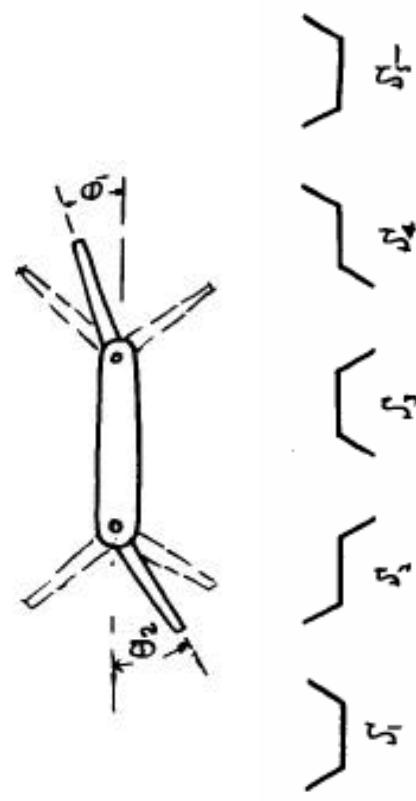
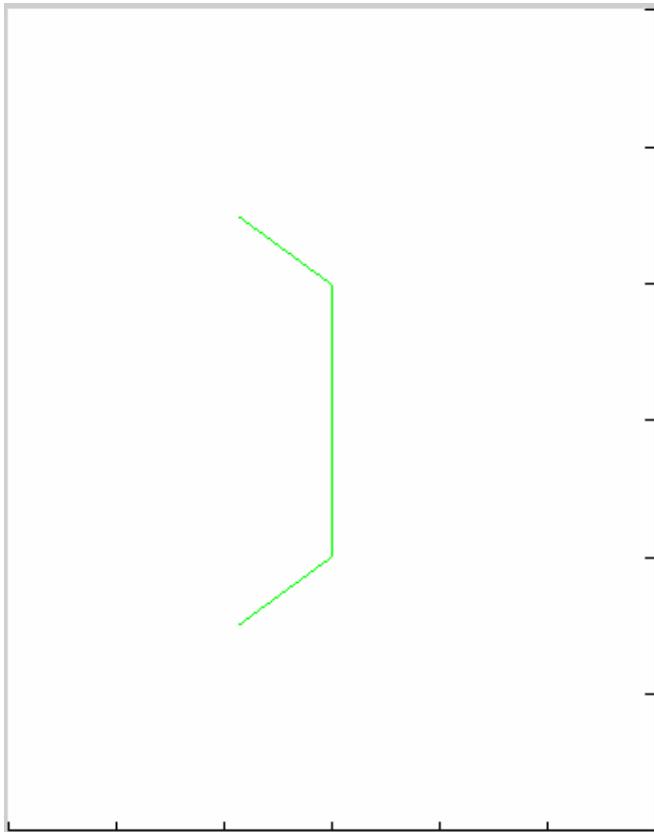
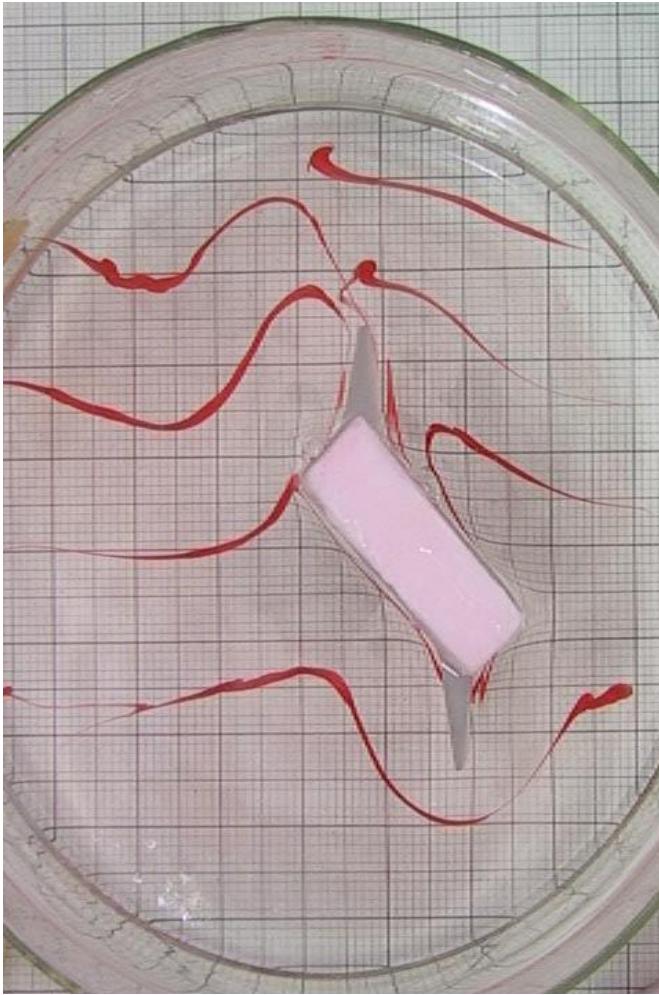
And indeed, here is the rotary motor



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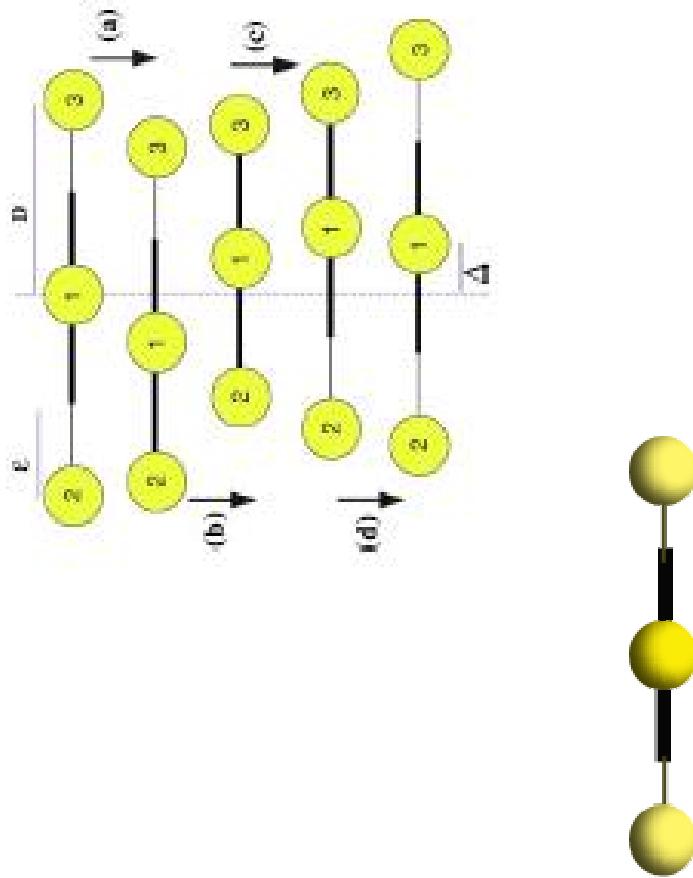
# How to beat the scallop theorem: 1. The three-link swimmer of Purcell





How to beat the scallop theorem:

## 2. The three-sphere swimmer of Golestanian (2004)



.... and the story continues (pushmepullyou, ....)

## 4. Optimal strokes



www.OLYMPIC.ORG - Official website of the Olympic Movement

ATHLETES ► PROFILES > RICHARD DOUGLAS FOSBURY

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IDENTITY CARD

Born : **6 March 1947**

Birthplace: **Portland (United States)**

Nationality: **United States**

Sport : **Athletics**

VIDEO GALLERY

Mexico 1968 D. Fosbury

ATTENDANCE AT THE OLYMPIC GAMES Mexico 1968

AWARDS

Olympic medals:

**Gold: 1**

**Details**

**Dick Fosbury revolutionised the high jump**

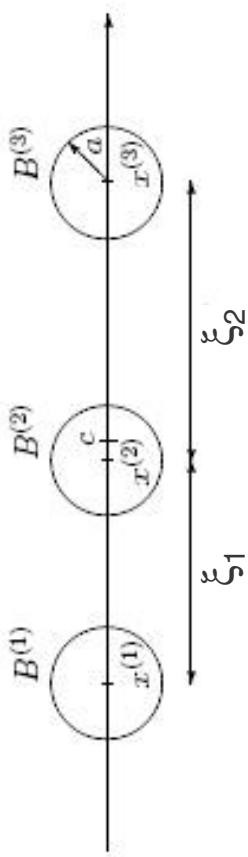
The 1968 Mexico City Olympics marked the international debut of Dick Fosbury and his celebrated "Fosbury flop," which would soon revolutionize high-jumping. At the time, jumpers took off from their inside foot and swung their outside foot up and over the bar. Fosbury's technique began by racing up to the bar at great speed and taking off from his right (or outside) foot. Then he twisted his body so that he went over the bar head first with his back to the bar. While the coaches of the world shook their heads in disbelief, the Mexico City audience was absolutely captivated by Fosbury and shouted, "Ole!" as he cleared the bar. Fosbury cleared every height through 2.22 metres without a miss and then achieved a personal record of 2.24 metres to win the gold medal. By 1980, 13 of the 16 Olympic finalists were using the Fosbury flop. It has since been shown that, unbeknownst to Fosbury, the first person to use the flop technique was actually a jumper from Montana named Bruce Quande, who was photographed flopping over a bar in 1963.



## Race for life

- Optimal strokes for sports is fun, but Low Re regime not relevant for this. So why are optimal strokes interesting in low Re regime?
- Understand biologically relevant swimming mechanism and their optimality (how is it realized?; what is being optimized?)
- Race for life (selection of optimal patterns out of evolutionary pressure)
- Optimal control of micro- and nano-robots for minimally invasive diagnostic tools, drug delivery, surgery, DNA repair, ....

# Mathematical swimming in a nutshell



$$\begin{aligned}\xi_1 &= x^{(2)} - x^{(1)} && \text{shape} \\ \xi_2 &= x^{(3)} - x^{(2)} \\ c &= \frac{1}{3} \sum_{i=1}^3 x^{(i)} && \text{position}\end{aligned}$$

Swimming is .....

$\xi_1(t)$ ,  $\xi_2(t)$  periodic of period T  
executing cyclic shape changes

producing

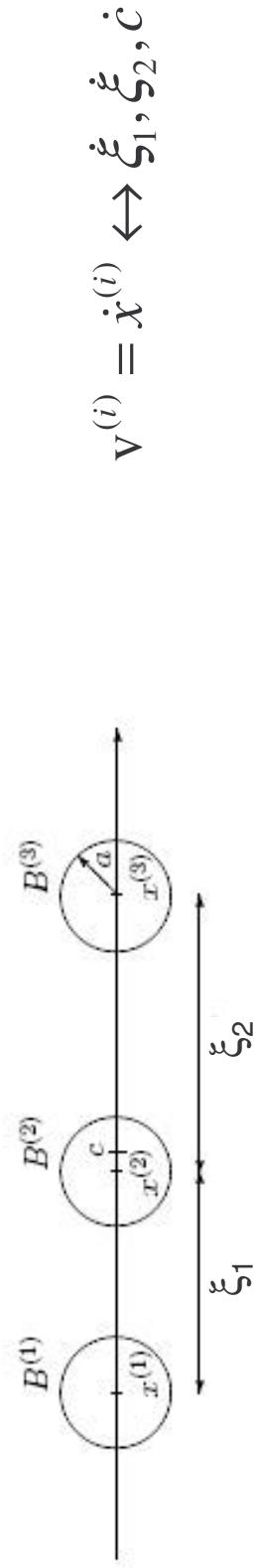
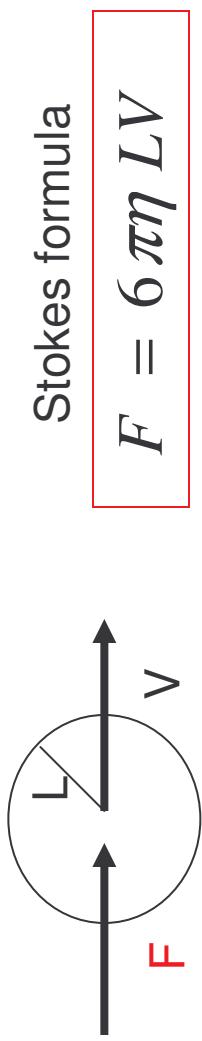
$$\Delta c = \int_0^T \dot{c} dt \neq 0$$

position change after one period  
**under**

$f^{(1)} + f^{(2)} + f^{(3)} = 0$   
no external force (self-propulsion)



## Positional change from shape change

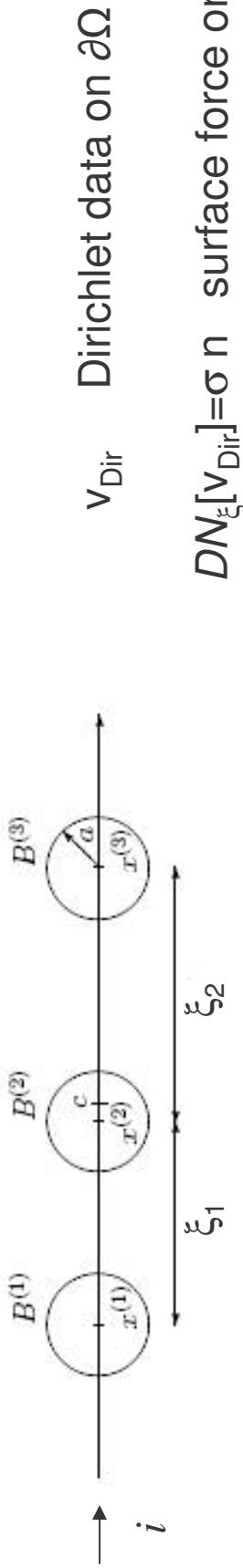


( $f^{(1)}, f^{(2)}, f^{(3)}$ )<sup>T</sup> =  $R(\xi_1, \xi_2)$  ( $v^{(1)}, v^{(2)}, v^{(3)}$ )<sup>T</sup>       $R$  a symm. pos. def. 3x3 matrix

$f^{(1)} + f^{(2)} + f^{(3)} = 0$  (self-propulsion)



## General axisymmetric case



$$0 = i \cdot \int_{\partial\Omega} DN_\xi[v_{\text{Dir}}] dA$$

$$= \varphi_1(\xi, \dot{\ell}) \dot{\xi}_1 + \varphi_2(\xi, \dot{\ell}) \dot{\xi}_2 + \varphi_3(\xi, \dot{\ell}) \dot{c}$$

$\rightarrow 0$

by transl. inv.

Then  $\dot{c}$  is uniquely determined and depends linearly on  $\dot{\xi}_1$  and  $\dot{\xi}_2$

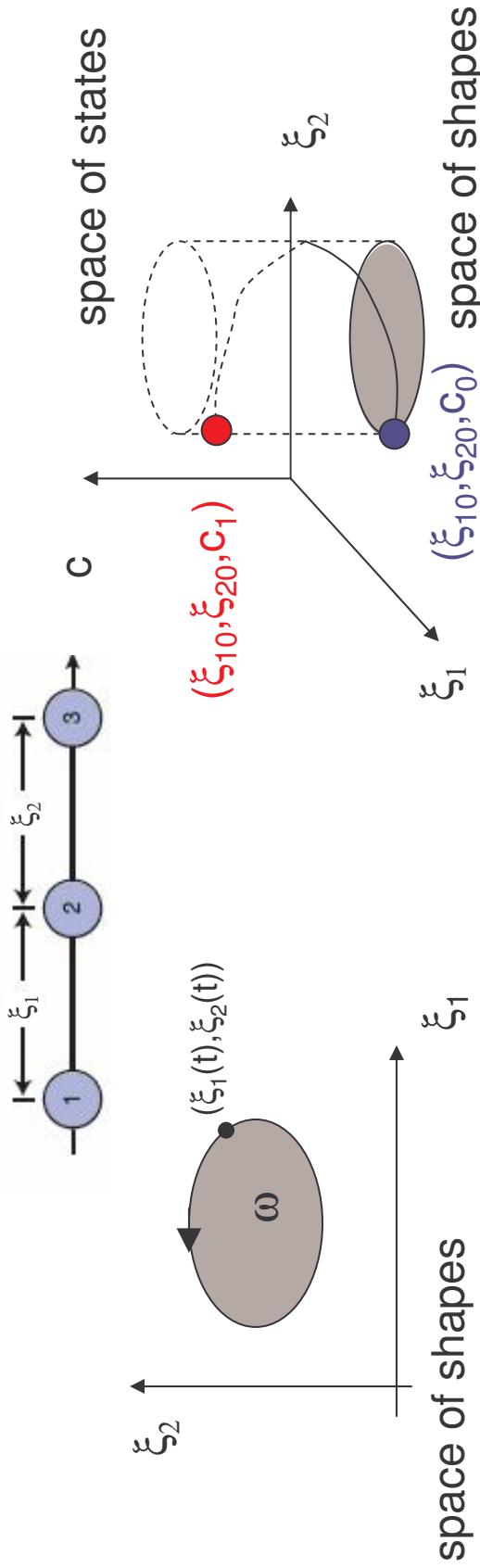
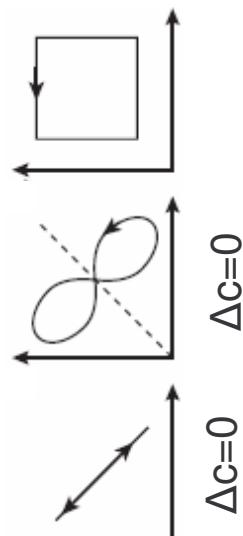
$$\frac{dc}{dt} = V_1(\xi) \frac{d\xi_1}{dt} + V_2(\xi) \frac{d\xi_2}{dt}$$

$$V_i(\xi) = -\varphi_i(\xi) / \varphi_{n+1}(\xi)$$

# Swimming with one formula



$$\Delta c = \int_0^T \dot{c} dt = \int_0^T (V_1 \frac{d\xi_1}{dt} + V_2 \frac{d\xi_2}{dt}) dt = \int_{\omega} \operatorname{curl} V d\xi_1 d\xi_2$$

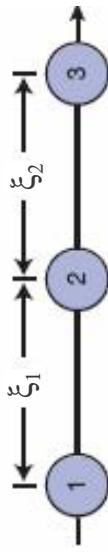


Swimming rests on the differential form  $V_1 d\xi_1 + V_2 d\xi_2$  not being exact

# Controllability



Nonholonomic motion planning vs. steering a control system

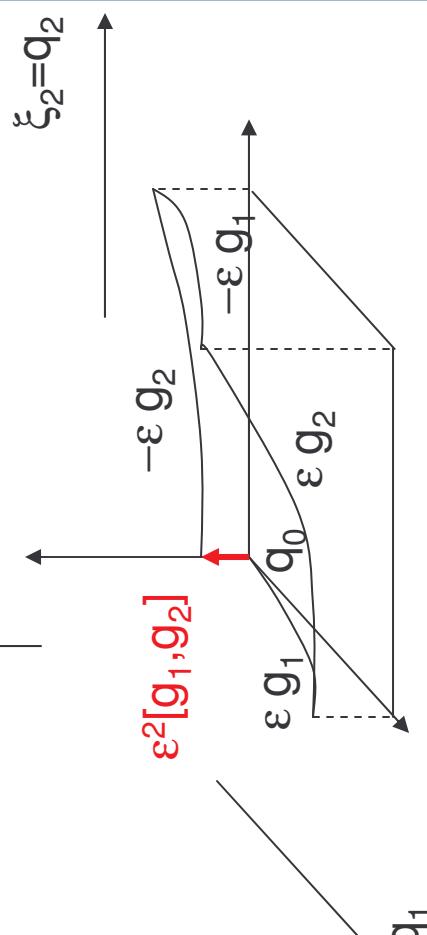


$$\begin{aligned}\dot{\xi}_1 &= u_1 \\ \dot{\xi}_2 &= u_2 \\ \dot{c} &= V_1(\xi)u_1 + V_2(\xi)u_2\end{aligned}$$

$$\dot{q} = \frac{d}{dt} \begin{bmatrix} q_1 := \xi_1 \\ q_2 := \xi_2 \\ q_3 := c \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} u_1 + \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} u_2 = \sum_{i=1}^2 g_i(q) u_i$$

$$[g_1, g_2] = \left( \frac{\partial}{\partial q} g_2 \right) g_1 - \left( \frac{\partial}{\partial q} g_1 \right) g_2 = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \operatorname{curl} V(q_1, q_2)$$

$$\det[g_1 \mid g_2 \mid [g_1, g_2]](q_1, q_2, q_3) = \operatorname{curl} V(q_1, q_2)$$



The three-sphere swimmer  
is globally controllable (Lie-bracket  
generating or totally nonholonomic  
control system)

# Swimming at max efficiency (geodesic strokes)



Rescale to unit time interval:

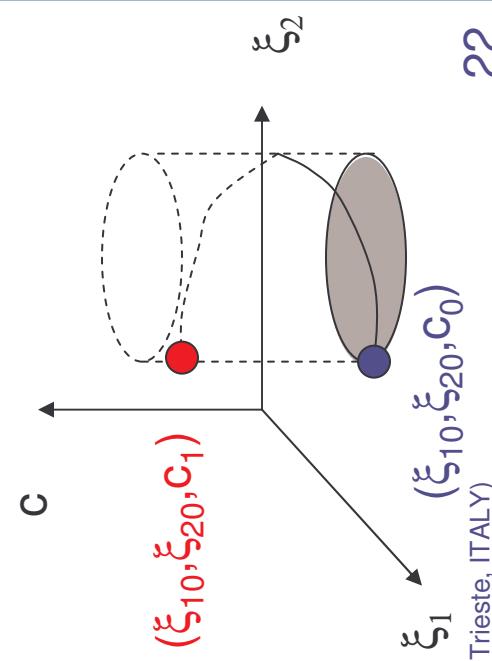
$$\text{Eff}^{-1} = \frac{\int_0^3 f^{(i)} \cdot \dot{x}^{(i)}(t) dt}{6\pi L\eta \Delta c^2} = \frac{\int_0^1 DN_\xi [v_{\text{Dir}}] \cdot v_{\text{Dir}} dA dt}{6\pi L\eta \Delta c^2} = \frac{\int_0^1 G(\xi) \dot{\xi} \cdot \dot{\xi} dt}{6\pi L\eta \Delta c^2}$$

Minimize expended power at given  $\Delta c = \int_0^1 V(\xi) \cdot \dot{\xi}$   
Introduce Lagrange multiplier  $\lambda$

$$\int_0^1 G(\xi) \dot{\xi} \cdot \dot{\xi} - \lambda \int_0^1 V(\xi) \cdot \dot{\xi}$$

Euler-Lagrange eqn.

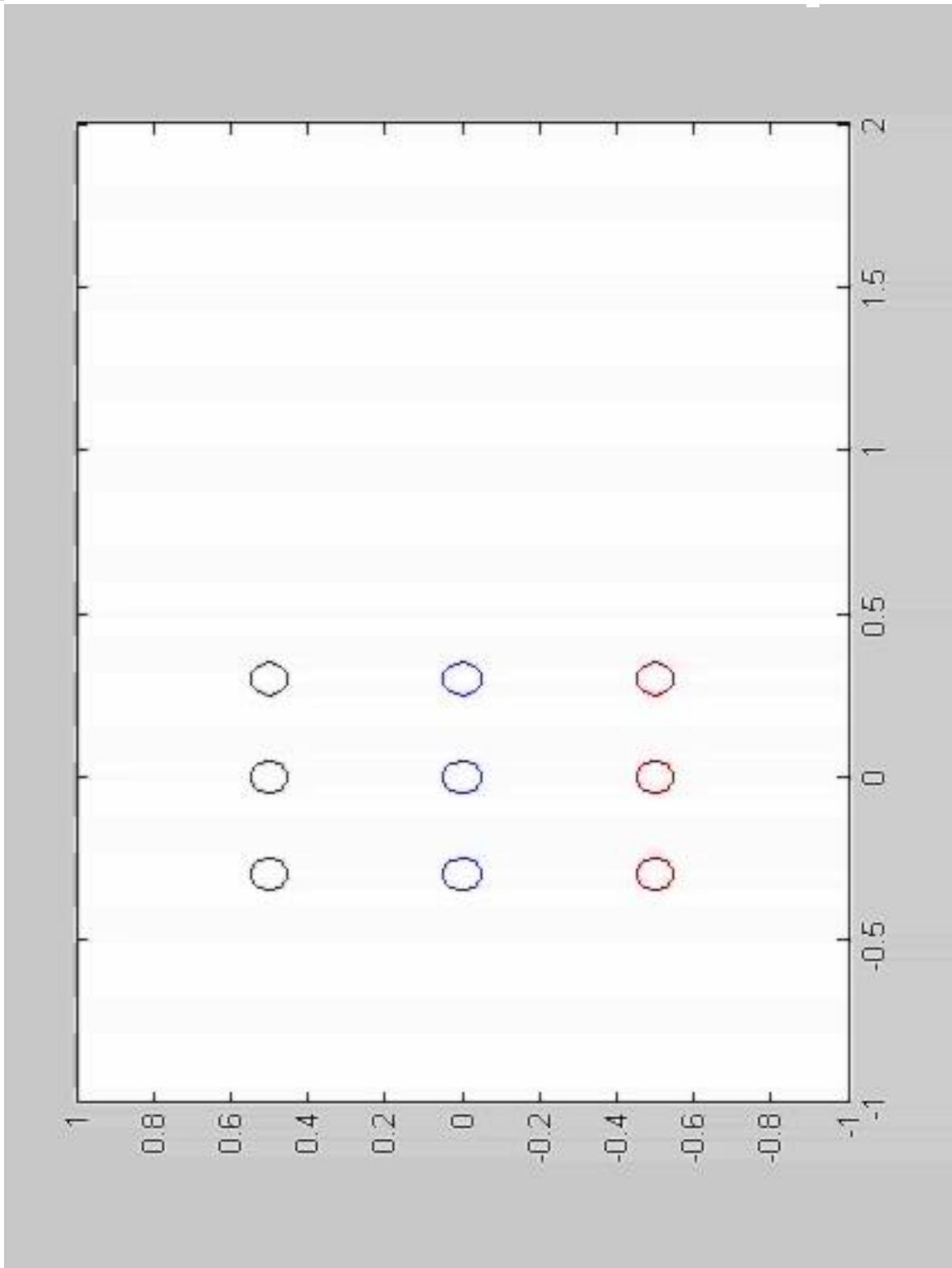
$$-\frac{d}{dt}(G\xi) + \frac{1}{2} \begin{pmatrix} \partial_1 G\xi \cdot \dot{\xi} \\ \partial_2 G\xi \cdot \dot{\xi} \end{pmatrix} + \lambda \operatorname{curl} V(\xi) \dot{\xi}^\perp = 0$$



Optimal paths are sub-riemannian geodesics.  
Optimal strokes exist.  
They can be computed numerically.

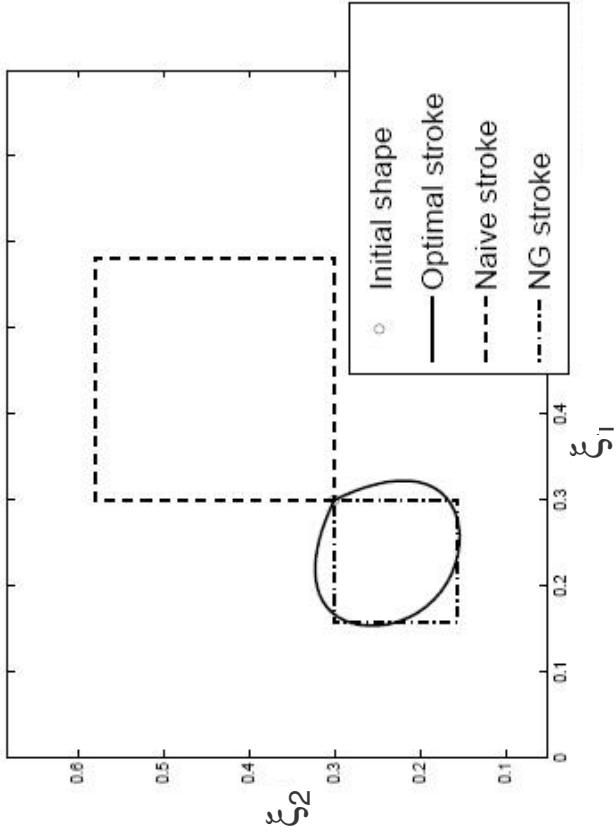
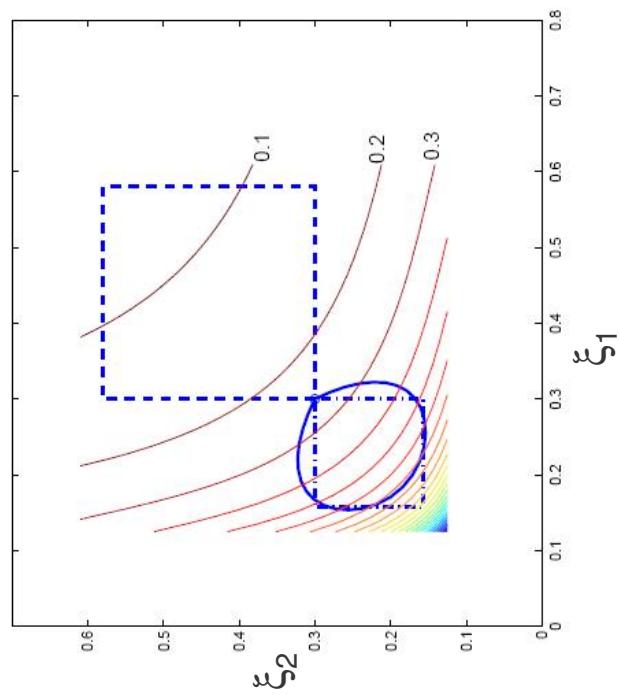


## Three sphere swimmers: a race





## Optimal stroke

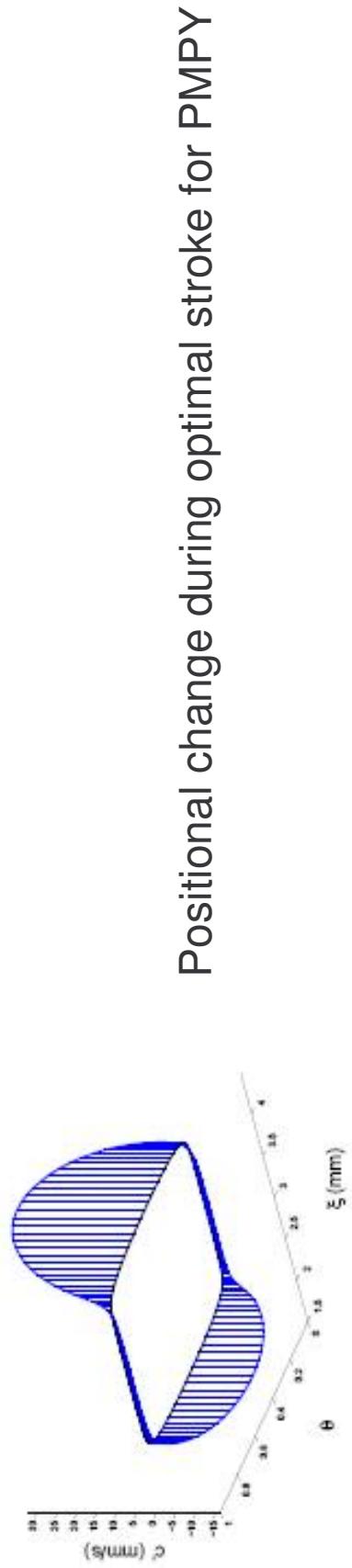
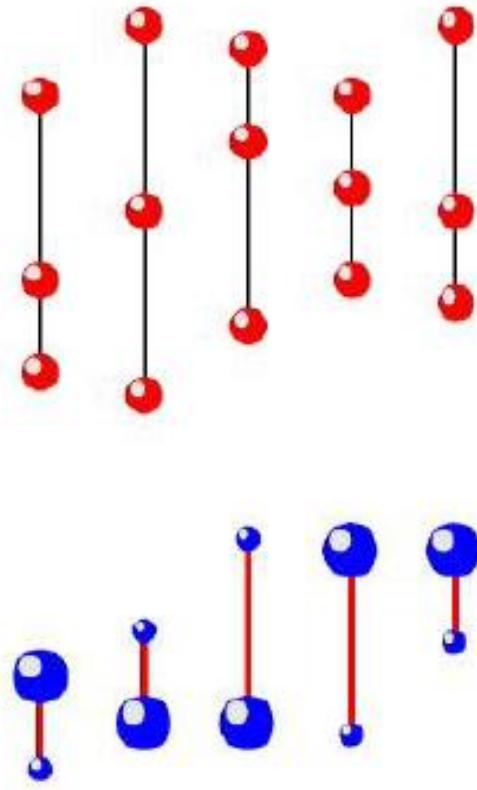


Level curves of  $\nabla \times V(\xi_1, \xi_2)$

Optimal stroke maximizes flux of  
 $\nabla \times V$  at given energy input,  
or minimizes power consumption at given  
flux of  $\nabla \times V$



Push me pull you



# Summary and perspectives



Eutrep2.mov



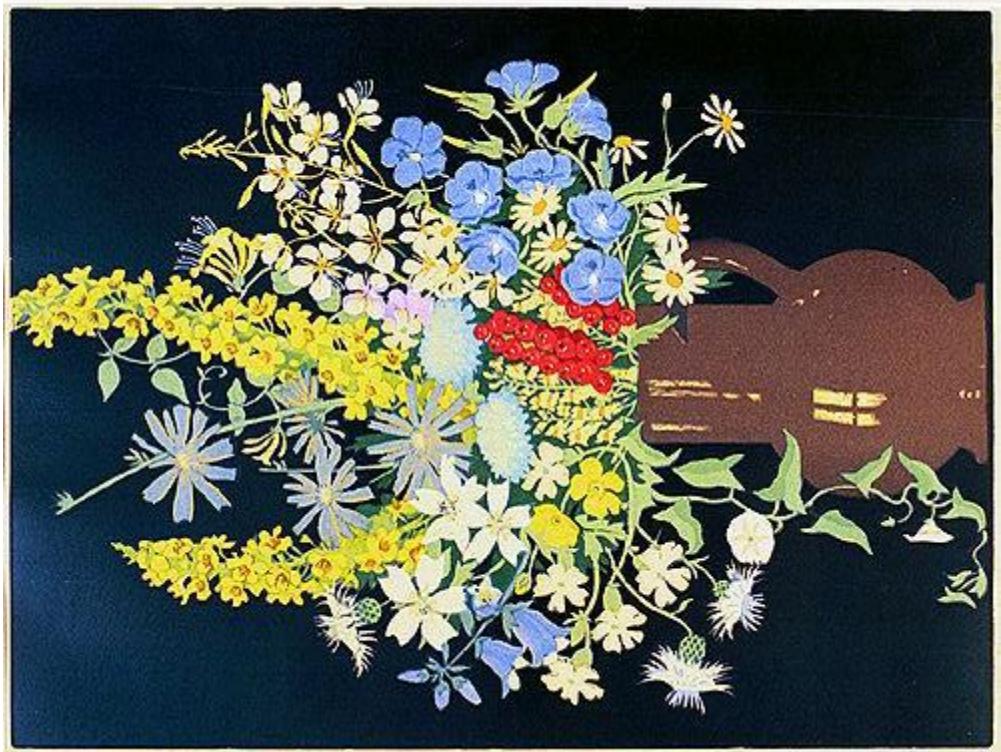
Eutreptiella sp. (Euglenoids)

- Self propulsion yields the key equation(s) giving positional change from shape change
- Swimming problem as a problem of controllability
- Optimal strokes of low Re swimmers computable; sub-riemannian geodesics

- Is this micro-organism swimming ?
- Is there something being optimized by the stroke of this micro-organism ?



Happy Birthday John!



John Hall Thorpe (1874-1947)  
A Wild Flower Bunch, c1925.