

# Elliptic formal group laws and differential equations

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[2] Victor M. Buchstaber "The general Krichever genus"  
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Starting with the general elliptic curve

$$y^2 + \mu_1xy + \mu_3y = x^3 + \mu_2x^2 + \mu_4x + \mu_6$$

we will find the formal group law as a rational function in terms of the Tate coordinates.

We will construct a function  $\Psi(u; v, \alpha)$  - the solution of the equation (the generalized Lamé equation)

$$\Psi''(u) - \left( 2\wp(u) - \frac{1}{4} \frac{\wp'(v)^2 - \wp'(u)^2}{(\wp(u) - \wp(v))^2} \right) \Psi(u) = \wp(v)\Psi(u)$$

with a periodic potential:

$$\Psi(u) = \frac{\sigma(u+v)^{\frac{1}{2}(1-\alpha)} \sigma(v-u)^{\frac{1}{2}(1+\alpha)}}{\sigma(u)\sigma(v)} \exp(\alpha\zeta(v)u), \quad \alpha = \frac{\wp'(u)}{\wp'(v)}.$$

We will introduce the general elliptic Hirzebruch genus.  
This genus is defined by a 5-parametric family of elliptic functions  
(of order 3 in the general case).  
It takes  $\mathbb{Z}[\mu_1, \mu_2, \mu_3, \mu_4, \mu_6]$ -integer values on any stably complex  
manifold.

**The general elliptic curve** in  $\mathbb{C}P^2$  in Weierstrass form

$$Y^2Z + \mu_1XYZ + \mu_3YZ^2 = X^3 + \mu_2X^2Z + \mu_4XZ^2 + \mu_6Z^3.$$

**The Weierstrass parametrization.** In the coordinate map  $Z \neq 0$  with the coordinates  $x = X/Z$ ,  $y = Y/Z$  we have

$$y^2 + \mu_1xy + \mu_3y = x^3 + \mu_2x^2 + \mu_4x + \mu_6.$$

**The Tate parametrization.** In the coordinate map  $Y \neq 0$  with the coordinates  $t = -X/Y$ ,  $s = -Z/Y$  we have

$$s = t^3 + \mu_1ts + \mu_2t^2s + \mu_3s^2 + \mu_4ts^2 + \mu_6s^3.$$

In the map  $X \neq 0$  with the coordinates  $v = Y/X$  and  $w = Z/X$

$$vw(v + \mu_1 + \mu_3w) = 1 + \mu_2w + \mu_4w^2 + \mu_6w^3.$$

The degrees  $\deg X = -4$ ,  $\deg Y = -6$ ,  $\deg Z = 0$ ,  $\deg \mu_i = -2i$ .

One can pass to the **standard Weierstrass form**

$$y^2 = 4x^3 - g_2x - g_3$$

by the affine transform  $x \rightarrow x + \frac{1}{12}(4\mu_2 + \mu_1^2)$ ,  $y \rightarrow 2y + \mu_1x + \mu_3$ .

Then

$$g_2 = \frac{1}{12}(4\mu_2 + \mu_1^2)^2 - 2(\mu_1\mu_3 + 2\mu_4),$$
$$g_3 = \frac{1}{6}(4\mu_2 + \mu_1^2)(\mu_1\mu_3 + 2\mu_4) - \frac{1}{6^3}(4\mu_2 + \mu_1^2)^3 - 4\mu_6 - \mu_3^2.$$

**The Weierstrass uniformization**

$$\wp(u)'^2 = 4\wp(u)^3 - g_2\wp(u) - g_3.$$

## Tate coordinates.

$$s(t) = t^3 + \mu_1 ts + \mu_2 t^2 s + \mu_3 s^2 + \mu_4 ts^2 + \mu_6 s^3.$$

$$s(t) \in \mathbb{Z}[\mu_1, \mu_2, \mu_3, \mu_4, \mu_6][[t]].$$

Let  $\mu(v) = (0, 3v + c_2, c_3, 3v^2 + 2c_2v + c_4, v^3 + c_2v^2 + c_4v + c_6)$ .

The function  $S(t, v) = s(t, \mu(v))$  satisfies **the Hopf equation**

$$\frac{\partial S}{\partial v} = S \frac{\partial S}{\partial t}.$$

The path  $\mu(v)$  defines a family of elliptic curves with the same standard Weierstrass form for any  $v$ .

**The formal group law.** A commutative one-dimensional formal group law over the ring  $A$  is a formal series

$$F(t_1, t_2) = t_1 + t_2 + \sum_{i,j} \alpha_{i,j} t_1^i t_2^j, \quad \alpha_{i,j} \in A,$$

such that the following conditions hold:

$$F(t, 0) = t, \quad F(t_1, t_2) = F(t_2, t_1), \quad F(t_1, F(t_2, t_3)) = F(F(t_1, t_2), t_3).$$

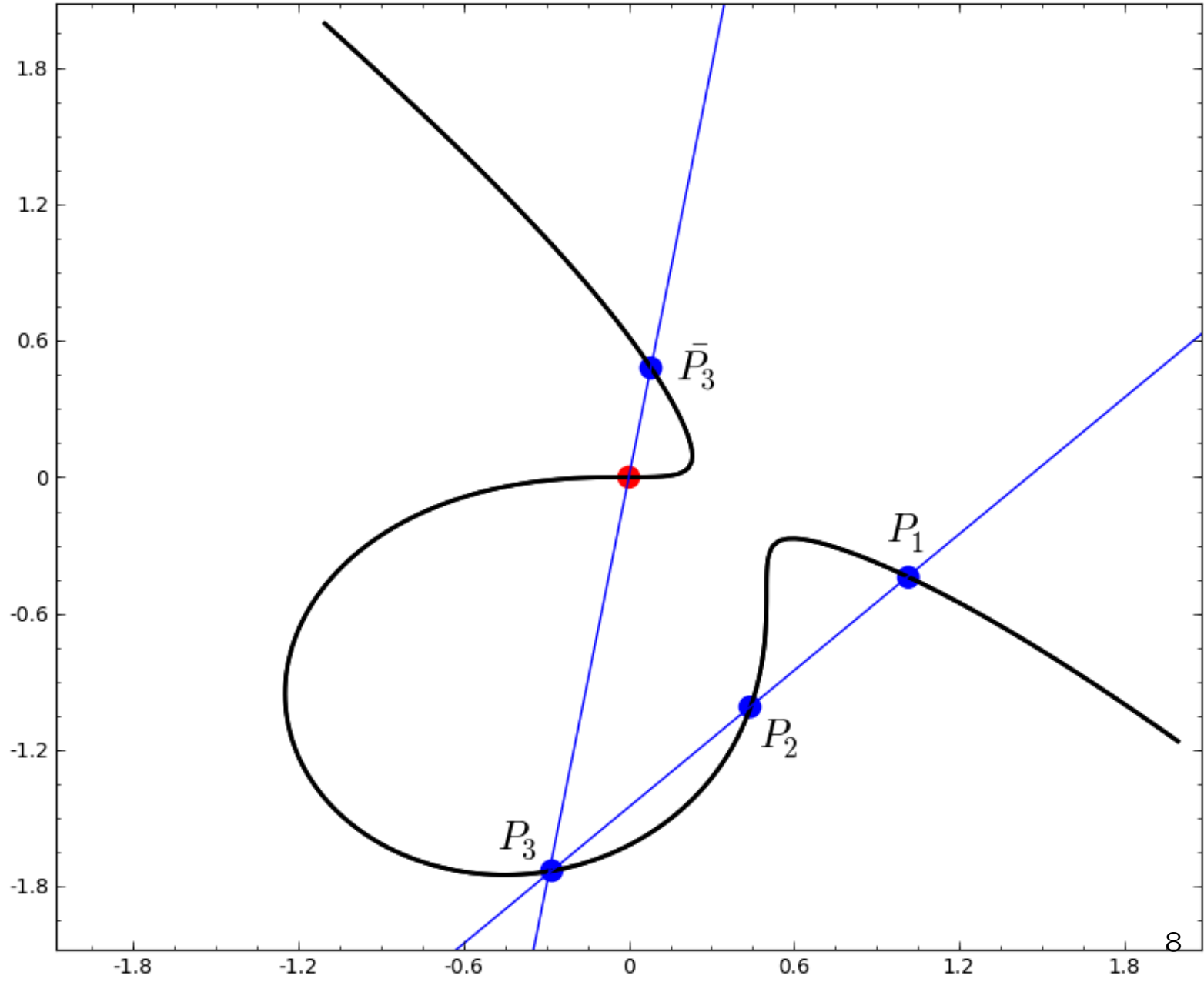
**The exponential of the formal group law.** For each formal group law  $F \in A[[t_1, t_2]]$  there exists a series  $f(u) \in A \otimes \mathbb{Q}[[u]]$ , such that  $f(0) = 0$ ,  $f'(0) = 1$ , and

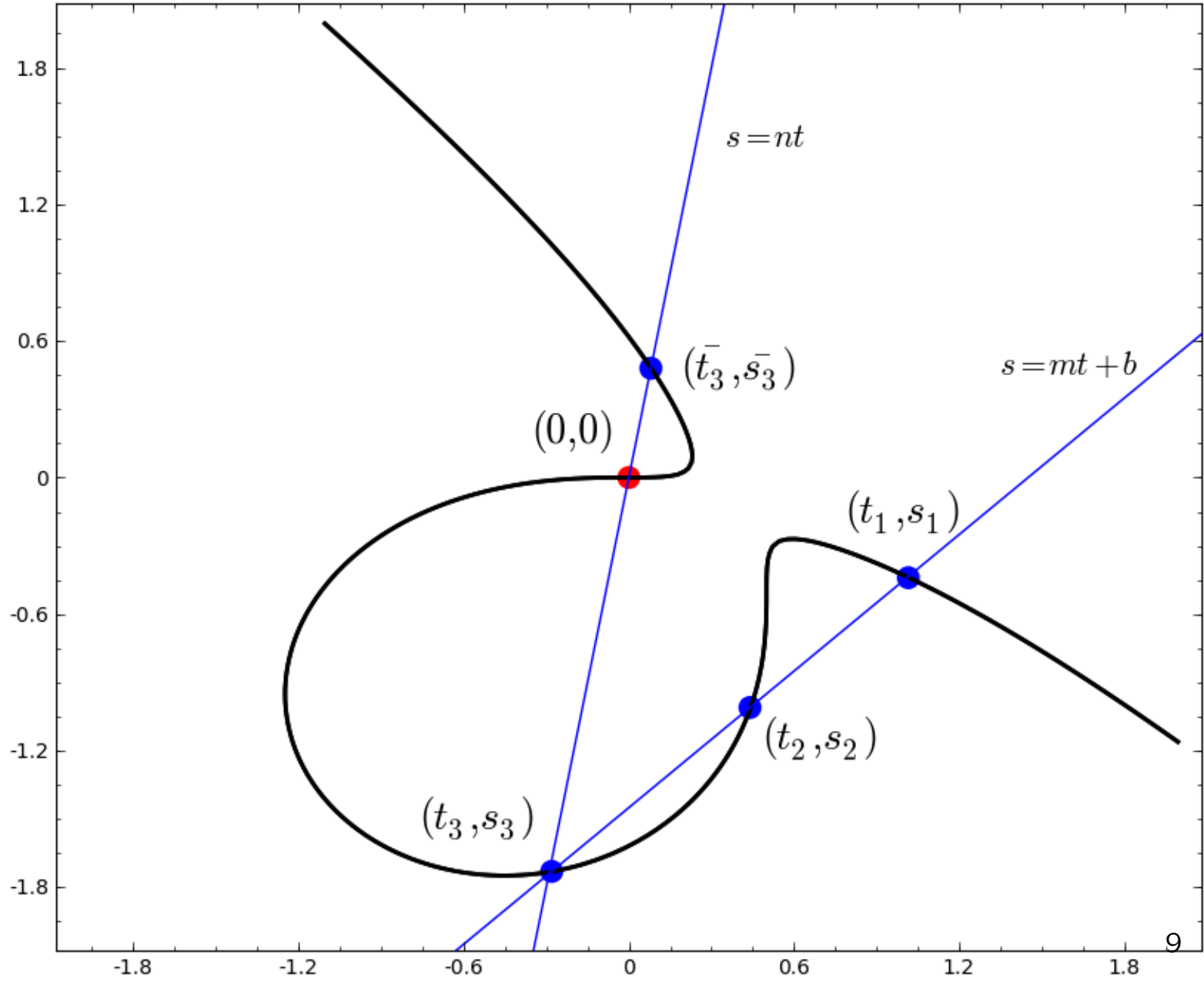
$$f(u + v) = F(f(u), f(v)).$$

We will need the formula

$$f'(u) = \frac{\partial}{\partial t_2} F(f(u), t_2) \Big|_{t_2=0}.$$







$$s = t^3 + \mu_1 ts + \mu_2 t^2 s + \mu_3 s^2 + \mu_4 ts^2 + \mu_6 s^3.$$

$$s = nt + b, \quad s = nt.$$

$$m = \frac{s_1 - s_2}{t_1 - t_2}, \quad b = \frac{t_1 s_2 - t_2 s_1}{t_1 - t_2}, \quad n(t_1, t_2) = m + \frac{b}{t_3}.$$

We have  $\bar{t} = -t$  if and only if  $\mu_1 = \mu_3 = 0$ .

**Theorem 1.** The general elliptic formal group law  $F_\mu(t_1, t_2)$  is given by the formula

$$F_\mu(t_1, t_2) = ((t_1 + t_2)(1 - \mu_3 b - \mu_6 b^2) - t_1 t_2 (\mu_1 + \mu_3 m + \mu_4 b + 2\mu_6 b m)) \times \\ \times \frac{(1 + \mu_2 m + \mu_4 m^2 + \mu_6 m^3)}{(1 + \mu_2 n + \mu_4 n^2 + \mu_6 n^3)(1 - \mu_3 b - \mu_6 b^2)^2}.$$

**Corollary.**  $F_\mu(t_1, t_2) \in \mathbb{Z}[\mu_1, \mu_2, \mu_3, \mu_4, \mu_6][[t_1, t_2]]$ .

**Example.** In the case  $(\mu_1, \mu_3) = (0, 0)$  we get

$$F_\mu(t_1, t_2) = t_1 + t_2 + b \frac{(\mu_2 + 2\mu_4 m + 3\mu_6 m^2)}{(1 + \mu_2 m + \mu_4 m^2 + \mu_6 m^3)}.$$

**Example.** In the case  $(\mu_3, \mu_4, \mu_6) = (0, 0, 0)$  we get

$$F(t_1, t_2) = \frac{t_1 + t_2 - \mu_1 t_1 t_2}{1 + \mu_2 t_1 t_2}, \quad \text{where } \mu_1 = \alpha + \beta, \mu_2 = -\alpha\beta.$$

This formal group law corresponds to the remarkable two-parameter Todd genus  $T_{\alpha, \beta}: \Omega_U \rightarrow \mathbb{Z}[\mu_1, \mu_2]$ , a particular case of which are the famous Hirzebruch genera: the Todd genus ( $\mu_2 = 0$ ); the signature ( $\mu_1 = 0$ ); the Euler characteristic ( $\mu_1^2 = -4\mu_2$ ).

**Example.** In the case  $(\mu_4, \mu_6) = (0, 0)$  we get

$$F_\mu(t_1, t_2) = \frac{(t_1 + t_2)(1 - \mu_3 b) - \mu_1 t_1 t_2 - \mu_3 t_1 t_2 m}{(1 - \mu_3 b)(1 + \mu_2 t_1 t_2 - \mu_3 b)}.$$

## The Hirzebruch genus.

Let  $f(u) = u + \sum_{k \geq 1} f_k u^{k+1}$ , where  $f_k \in A \otimes \mathbb{Q}$ .

Define 
$$\prod_{i=1}^n \frac{u_i}{f(u_i)} = L_f(\sigma_1, \dots, \sigma_n),$$

where  $\sigma_k$  is the  $k$ -th elementary symmetric polynomial of  $u_1, \dots, u_n$ .

*The Hirzebruch genus  $L_f(M^{2n})$  of a stably complex manifold  $M^{2n}$  is the value of the cohomology class  $L_f(c_1, \dots, c_n)$  on the fundamental cycle  $\langle M^{2n} \rangle$  of the manifold  $M^{2n}$ , where  $c_k$  is the  $k$ -th Chern class of the tangent bundle of the manifold  $M^{2n}$ .*

The Hirzebruch genus  $L_f$  is called *A-integer* if  $L_f(M^{2n}) \in A_{-2n}$  for any stably complex manifold  $M^{2n}$ .

Let us take a formal group  $F(t_1, t_2)$  over the ring  $A$  and its exponential  $f(u)$ . Then the corresponding Hirzebruch genus  $L_f$  is  $A$ -integer.

**Corollary.** (The general elliptic genus.)

Theorem 1 gives a 5-parametric  $\mathbb{Z}[\mu_1, \mu_2, \mu_3, \mu_4, \mu_6]$ -integer Hirzebruch genus.

$$\left. \frac{\partial}{\partial t_2} F_\mu(t, t_2) \right|_{t_2=0} = 1 - \mu_1 t - \mu_2 t^2 - 2\mu_3 s - 2\mu_4 ts - 3\mu_6 s^2.$$

Let  $\phi(u) = s(f(u))$ . We obtain

$$\begin{cases} f' = 1 - \mu_1 f - \mu_2 f^2 - 2\mu_3 \phi - 2\mu_4 f \phi - 3\mu_6 \phi^2 \\ \phi = f^3 + \mu_1 f \phi + \mu_2 f^2 \phi + \mu_3 \phi^2 + \mu_4 f \phi^2 + \mu_6 \phi^3. \end{cases}$$

**Theorem 2.** Let  $(\mu_3, \mu_4, \mu_6) \neq (0, 0, 0)$ . Then the exponential  $f(u)$  of the elliptic formal group law  $F_\mu$  is the solution of the equation

$$\mu_6 f'^3 + P_2(f) f'^2 = P_6(f),$$

$$P_2(f) = (\mu_4^2 - 3\mu_2\mu_6) f^2 + (2\mu_3\mu_4 - 3\mu_1\mu_6) f + (3\mu_6 + \mu_3^2), \quad P_6(f) = \dots$$

with the initial condition  $f(0) = 0$  and the condition  $f'(0) = 1$  which fixes the branch of solutions.



Let  $(\mu_3, \mu_4, \mu_6) = (0, 0, 0)$ . Then the exponential  $f(u)$  of the elliptic formal group law  $F_\mu$  is the solution of the equation

$$f' = 1 - \mu_1 f - \mu_2 f^2$$

with the initial condition  $f(0) = 0$ .

**Example.** Let  $\mu_6 = 0$ ,  $(\mu_3, \mu_4) \neq (0, 0)$ :

$$f'^2 = 1 - 2\mu_1 f + (\mu_1^2 - 2\mu_2)f^2 + (2\mu_1\mu_2 - 4\mu_3)f^3 + (\mu_2^2 - 4\mu_4)f^4.$$

**Example.** Let  $(\mu_1, \mu_2, \mu_3, \mu_4) = (0, 0, 0, 0)$ :

$$(f' - 1)(f' + 2)^2 = -27\mu_6 f^6.$$

The exponential of the general elliptic formal group is

$$f(u) = -2 \frac{\wp(u; g_2, g_3) - \frac{1}{12}(4\mu_2 + \mu_1^2)}{\wp'(u; g_2, g_3) - \mu_1 \wp(u; g_2, g_3) + \frac{1}{12}\mu_1(4\mu_2 + \mu_1^2) - \mu_3}.$$

We have

$$-\frac{1}{f(u)} = \frac{1}{2} \frac{\wp'(u) + \wp'(w)}{\wp(u) - \wp(v)} - \frac{\mu_1}{2},$$

where  $\wp(u) = \wp(u; g_2, g_3)$ ,  $\wp'(w) = -\mu_3$  and  $\wp(v) = \frac{1}{12}(4\mu_2 + \mu_1^2)$ .

The exponential of the elliptic formal group law in the non-degenerate case is the elliptic function of order 2 iff  $\mu_6 = 0$ .

It is the elliptic function of order 3 in the general non-degenerate case.

## The Baker-Akhiezer function.

$$\Phi(u; v) = \frac{\sigma(v - u)}{\sigma(u)\sigma(v)} \exp(\zeta(v)u).$$

## The Lamé equation.

$$\Phi''(u) - 2\wp(u)\Phi(u) = \wp(v)\Phi(u).$$

The quasiperiodic solutions such that  $\lim_{u \rightarrow 0} \left( \Phi(u) - \frac{1}{u} \right) = 0$  are  $\Phi(u; v)$  and  $\Phi(u; -v)$ .

The periodic properties are

$$\begin{aligned} \Phi(u + 2\omega_k; v) &= \Phi(u; v) \exp(2\zeta(v)\omega_k - 2\eta_k v), \\ \Phi(u; v + 2\omega_k) &= \Phi(u; v). \end{aligned}$$

Thus  $(\ln \Phi(u))'$  is a doubly periodic meromorphic function of  $u$ :

$$(\ln \Phi(u))' = \zeta(u - v) + \zeta(v) - \zeta(u) = \frac{1}{2} \frac{\wp'(u) + \wp'(v)}{\wp(u) - \wp(v)}.$$

## The Krichever genus.

Let  $f_0(u) = \frac{1}{\Phi(u)}$ , where  $\Phi(u)$  is the Baker-Akhiezer function.

I. M. Krichever showed  $L_{f_0}$  obtains the property of rigidity on SU-manifolds (Calabi–Yau manifolds) with the action of a circle.

The Hirzebruch genus defined by the series  $f_{Kr}(u) = f_0(u) \exp(a_1 u)$ , is called *the Krichever genus*.

Consider the transform

$$T(\phi(u)) = \frac{\phi(u)}{\phi'(u)}.$$

Consider the function  $\hat{\Phi}(u; v) = \Phi(u; v) \exp(-\frac{\mu_1}{2}u)$ ,  
 where  $\Phi(u; v)$  is the Baker-Akhiezer function, and the function  
 $U_1(u) = U_1(u; v) = -\frac{1}{2} \frac{\wp'(u) + \wp'(v)}{\wp(u) - \wp(v)}$ .

The function  $\hat{\Phi}(u) = \hat{\Phi}(u; v)$  is a solution of the equation

$$\hat{\Phi}''(u) - (2\wp(u) + \mu_1 U_1(u))\hat{\Phi}(u) = (\wp(v) + \frac{\mu_1^2}{4})\hat{\Phi}(u).$$

**Corollary.**

Let  $f_\mu(u)$  be the exponential of the formal group law  $F_\mu(t_1, t_2)$   
 where  $\mu = (\mu_1, \mu_2, \mu_3, \mu_4, 0)$ . Then

$$(\ln \hat{\Phi}(u; v))' = -\frac{1}{f_\mu(u)},$$

where  $v$  is determined by  $\wp(v) = \frac{1}{12}(4\mu_2 + \mu_1^2)$  and  $\wp'(v) = -\mu_3$ .

In the case of general  $\mu$ , let

$$\Psi(u) = \frac{\sigma(u+v)^{\frac{1}{2}(1-\alpha)} \sigma(v-u)^{\frac{1}{2}(1+\alpha)}}{\sigma(u)\sigma(v)} \exp\left(\left(-\frac{\mu_1}{2} + \alpha\zeta(v)\right)u\right),$$

where  $\sigma(u) = \sigma(u; g(\mu))$ . Then

$$(\ln \Psi(u))' = \frac{1}{2} \frac{\wp'(u) + \alpha\wp'(v)}{\wp(u) - \wp(v)} - \frac{\mu_1}{2}.$$

**Corollary.** Let  $f_\mu(u)$  be the exponential of the general elliptic formal group law. Then

$$(\ln \Psi(u))' = -\frac{1}{f_\mu(u)}$$

for  $\wp(v) = \frac{1}{12}(4\mu_2 + \mu_1^2)$ ,  $\alpha = \frac{\wp'(w)}{\wp'(v)} = \frac{-\mu_3}{\sqrt{\mu_3^2 + 4\mu_6}}$ .

The function  $\Psi(u)$  with  $\alpha = \frac{\wp'(w)}{\wp'(v)}$  satisfies the equation

$$\Psi''(u) - (2\wp(u) + \mu_1 U_1(u) - U_2(u))\Psi(u) = (\wp(v) + \frac{\mu_1^2}{4})\Psi(u)$$

where  $U_2(u) = U_2(u; v, w) = U_1(v; u, w)U_1(v; u, -w)$  and

$$U_1(u; v, w) = -\frac{1}{2} \frac{\wp'(u) + \wp'(w)}{\wp(u) - \wp(v)}.$$

We have

$$\Psi(u) = \Phi(u; v) \exp\left(-\frac{\mu_1}{2}u\right) \left(\frac{\Phi(u; -v)}{\Phi(u; v)}\right)^{\frac{1}{2}(1-\alpha)}.$$

The periodic properties are

$$\Psi(u + 2\omega_k; v) = \Psi(u; v) \exp(\alpha(2\zeta(v)\omega_k - 2\eta_k v) - \mu_1\omega_k).$$

**Example.** ( $\mu_1 = 0$ ).

$$\Psi''(u) - \left(2\wp(u) - \frac{1}{4} \frac{\wp'(v)^2 - \wp'(w)^2}{(\wp(u) - \wp(v))^2}\right) \Psi(u) = \wp(v) \Psi(u)$$

**Example.** ( $\mu_1 = 0, \mu_3 = 0 \Rightarrow \alpha = 0$ ).

$$\Psi(u) = \sqrt{\Phi(u; v)\Phi(u; -v)} = \frac{\sqrt{\sigma(u+v)\sigma(v-u)}}{\sigma(u)\sigma(v)} = \sqrt{\wp(u) - \wp(v)},$$

$$\wp(v) = \frac{1}{3}\mu_2, (\wp(v)')^2 = 4\mu_6.$$

$$\Psi(u)^2 \Psi'(u)^2 = \Psi(u)^6 + \mu_2 \Psi(u)^4 + \mu_4 \Psi(u)^2 + \mu_6.$$

In the case  $\mu_6 = 0$  we come to

$$\Psi'(u)^2 = \Psi(u)^4 + \mu_2 \Psi(u)^2 + \mu_4.$$



A **Hurwitz series** over  $A$  is a formal power series in the form

$$\varphi(u) = \sum_{k \geq 0} \varphi_k \frac{u^k}{k!} \in A \otimes \mathbb{Q}[[u]]$$

with  $\varphi_k \in A$  for all  $k = 0, 1, 2, 3, \dots$

For the sigma function

$$\sigma(u) = u \sum_{i,j \geq 0} \frac{a_{i,j}}{(4i + 6j + 1)!} \left(\frac{g_2 u^4}{2}\right)^i (2g_3 u^6)^j,$$

there is the Weierstrass recursion

$$a_{i,j} = 3(i + 1)a_{i+1,j-1} + \frac{16(j + 1)}{3}a_{i-2,j+1} - \frac{(4i + 6j - 1)(2i + 3j - 1)}{3}a_{i-1,j}$$

$$a_{0,0} = 1, \quad a_{i,j} = 0 \text{ for } i < 0 \text{ or } j < 0.$$

The sigma function is a Hurwitz series over  $\mathbb{Z}[\frac{g_2}{2}, 2g_3]$ :

$$\sigma(u) \in H\mathbb{Z}[\frac{g_2}{2}, 2g_3][[u]],$$

that is  $a_{i,j} \in \mathbb{Z}$ .

**Corollary.** For any  $v$  let  $a_2 = \wp(v)$ ,  $a_3 = \wp'(v)$ ,  $a_4 = \frac{g_2}{2}$ . Then  $g_3 = -a_2^2 + 4a_3^2 - 2a_2a_4$ . Thus  $\sigma(u) \in H\mathbb{Z}[a_2, a_3, a_4][[u]]$ .

**Conjecture.** Let  $a(i, j) = 2^k 3^l s(i, j)$ , where  $s(i, j) \in \mathbb{Z}$  is coprime with 2 and 3. Let

$$\frac{(4i + 6j + 1)!}{2^{3i+4j} 3^{i+j} i! j!} = 2^{k_1} 3^{l_1} s_1(i, j),$$

where  $s_1(i, j) \in \mathbb{Z}$  is coprime with 2 and 3.

Then  $k = k_1$ ,  $l = l_1$ .

In the vicinity of  $u = 0$  the exponential of the general Krichever genus

$$\frac{1}{\Psi(u)} = u + \sum \Psi_k \frac{u^{k+1}}{(k+1)!},$$

is a Hurwitz series over  $\mathbb{Z}[a_1, a_2, a_3, a_4, a_6]$ , where

$$a_1 = \frac{\mu_1}{2},$$

$$a_2 = \wp(v) = \frac{1}{12}(4\mu_2 + \mu_1^2),$$

$$a_3 = \wp'(w) = -\mu_3,$$

$$a_4 = \frac{1}{2}g_2(\mu),$$

$$a_6 = 4\mu_6 + \mu_3^2.$$